

Energy Regulatory Commission  
*Market Operations Service - Licensing & Market Monitoring Division*

**Checklist of Documents/Information Required for *Generation Companies*  
Applications for COC Renewal**

**Name of Generation Company:** \_\_\_\_\_

**A. General Requirements**

- (1) Application Form (Form No.1);
- (2) Company Profile (Form No.2); and
- (3) **Five (5) Year** Operational History (Form No. 3), if applicable.

**B. COC Application Fee**

- (4) P10,000.00

**C. Technical Qualification**

- (5) Sworn Statement that the Generation Company and its Generation Facilities comply with and will continue to comply with the PGC and PDC for the duration of the COC;
- (6) Sworn Statement that the Generation Company and its Generation Facilities comply with and will continue to comply with the WESM Rules and Manuals for the duration of the COC, when applicable;
- (7) General Plant Description (Form No.7), if there are any changes; and
- (8) PGC, PDC and WESM Requirements
  - (8.1) Electrical/Mechanical Plans and Diagrams, if there are any changes;
  - (8.2) Details of its Communication and SCADA Requirements, if there are any changes; and
  - (8.3) Certificate of WESM membership or Application for WESM Membership, as may be applicable.
- (9) **Report on the conduct of capability test if there is an addition, replacement or repowering of units**

D. Financial Capability

- (10) Duly attested updated schedule of liabilities, to include the following information: name of creditor(s), type of credit, credit terms and applicable interest rate(s); and
- (11) Five (5) year financial plan, if applicable.

E. Ownership/Control

- (12) Articles of Incorporation / Partnership (for Corporation / Partnership) with Certificate of Registration, if there are any changes;
- (13) Latest General Information Sheet (GIS) of the Company (SEC Form);;
- (14) Sworn Statement that the Generation Company complies with and will continue to comply with the provisions on cross-ownership and market share restrictions under Republic Act No. 9136, its Implementing Rules and Regulations, and these Revised Rules for the duration of the COC (Form No.6); and
- (15) Duly attested updated and comprehensive listing of Affiliates and Related Groups, including ownership and management structure.

F. Other Requirements

- (16) Power Supply Agreements; if applicable
- (17) Latest Permit to Operate (PTO) duly issued by the Department of Environment and Natural Resources (DENR), if applicable; and
- (18) Such other information or document/s that the ERC may require.

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***Evaluator's Comment/Recommendation:***

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**Legend:**

- ✓ - Submitted Document with Complete Entries      N/A – Not applicable
- Inc. – Incomplete Entries on Submitted Forms

**Note:**

\* Only forms/ documents with complete entries will be accepted; otherwise it will be returned to the applicant for re-- submission of forms with complete entries

***Documents Checked/Evaluated by:*** \_\_\_\_\_  
*Signature over Printed Name - Position/ Date*