



Republic of the Philippines
Energy Regulatory Commission
 San Miguel Avenue, Ortigas Center, Pasig City

RES INFORMATION SHEET

(Application for a License to Provide Retail Electric Service in Contestable Market)

For office use only

Date Received :
 Application No.:
 Fee Paid :

PART 1. INFORMATION ON THE APPLICANT

1.1 Company Profile

- a. Legal/Business Name : _____
- b. Type of Organization : Single Proprietorship Partnership
 Corporation Cooperative
- c. Registration: DTI SEC CDA Others
- d. Complete Business Address: _____

- e. Website (if available): _____
- f. E-mail Address: _____
- g. Telephone No.: _____ Fax No.: _____

1.2 Company Officers' Profile`

Company Officers	Name	Tel.No./Fax No./ Cell No.	E-mail Address
Chairman			
President			
CEO			
Representative to ERC/ Position			

Please attach the names, tel./fax/celphone numbers, e-mail addresses and curriculum vitae of Directors, Officers.

1.3 Corporate Information

List and description of companies affiliated with the Applicant:

Name of Company	Description of the Relationship with the Applicant	Description of the electricity sector business activities in which affiliate is engaged

1.4 Applicant's Electricity Sector Business Activity

- a. Newly organized for the purpose of providing retail electricity service.
- b. Existing organization engaged in an activity in the electricity sector.
(Specify existing business activity)

1.5 Scope of Operations

- a. Description of the activities of Applicant.

List of distribution utility franchise area/s in which Applicant intends to provide service or solicit customers:

- b. Target customers which Applicant intends to serve.

- Industrial Services
 Commercial Others (pls. specify)

c. Start date by which the Applicant proposes to begin providing retail electricity services:

Upon implementation of Retail Competition & Open Access.

Other approximate date of commencement. Pls. specify _____

PART 2. TECHNICAL & MANAGERIAL RESOURCE REQUIREMENTS

2.1 Provide evidence of the technical and managerial capability to ensure reliable electricity supply in accordance with its customer contracts, such as but not limited to the following:

2.1.1 Ability and knowledge to operate and manage an electricity supply business, which involves the requirement to comply with the Commission’s rules and regulations, i.e Guidelines for the Issuance of License to Retail Electricity Suppliers, Code of Conduct for Competitive Retail Market Participants, the Uniform Business Practices.

2.1.2 Ability to set-up and operate within the customer-transfer system approved by ERC an automated information exchange associated with business to business (B2B) communications and transactions.

2.1.3 Technical ability to enter into any necessary access or interconnection arrangements or other required contracts with one or more Distribution Utilities and TRANSCO;

2.1.4 Technical ability to secure generation through compliance with all applicable requirements of the Market Operator, if applicable.

2.1.5 Technical ability to meet its transactional requirements with the Market Operator or its contractual obligations with any industry participant, if applicable;

2.1.6 Adequate staffing and employee training to meet all service level commitments.

2.2 Source of Supply (Check all that apply).

- Applicant's own generation facility.
- Applicant contracts for supply of electricity with IPPs/Generation Companies.
- Applicant sources its power from the WESM.
- Others (pls. specify) _____

PART 3. FINANCIAL & CREDIT REQUIREMENTS

3.1 Provide evidence that the Applicant meets any of the following credit standards:

- Investment grade credit rating by a reputable credit bureau; or
- Unused cash resources with an amount equivalent to the Applicant's expected monthly billings, or PhP 5 million; or
- Proof of creditworthiness confirmed through the certification of companies (including Generation Companies, TRANSCO and Distribution Utilities) which have extended credit terms to the Applicant.

3.2 Sources of Capital

- Equity
- Borrowings. Pls. specify source. _____
- Credit Line
- Others (pls. specify)

PART 4. SECURITY DEPOSIT REQUIREMENTS

- Applicant intends to collect deposits from customers.
- Applicant does not intend to collect deposits from customers.
- Applicant has collected deposits from customers. Please specify amount and number of customers. _____

Please attach certification from a commercial bank that Applicant can avail of said bank's loan facility, or a certification stating the amount deposited in escrow.

Note: All deposits collected from customers will be required to be deposited in escrow.

PART 5. OTHER REQUIREMENTS

- 5.1 Articles of Incorporation/Partnership with Certificate of Registration (for juridical person);
- 5.2 Business Name Registration Certificate (for single proprietorship);
- 5.3 Mayor's Permit;
- 5.4 Certified true copies of audited balance sheet, cash flow, and income statement for the two most recent twelve (12)-month periods, or for the life of the business, if not in existence for at least two (2) years, if applicable;
- 5.5 If affiliate, certified true copies of audited balance sheet, cash flow and income statements for the two most recent twelve (12)-month periods of parent company;
- 5.6 Projected Five-year Financial Statement and Five-year Business Plan (outline to be provided by ERC);
- 5.7 Sworn statement that the applicant shall comply with the Code of Conduct and the Competition Rules;
- 5.8 Sworn statement that: (a) the Applicant or Affiliate thereof or any stockholder, director or officer or any of their relatives within the fourth (4th) civil degree of consanguinity or affinity, legitimate or common law, does not own any interest, directly or indirectly, in TRANSCO or its Buyer or Concessionaire, or Independent Market Operator; and (b) no officer or director of the Applicant is an officer or director of the TRANSCO or its Buyer or Concessionaire, except for ex-officio government appointed representatives.

Name of person who provided the above information: _____

Signature: _____ Date Accomplished: _____

Position: _____

